

RJO Account Applications / Documents to be Completed – 01/16
Pg #s correlate with Physical Forms, different for pdfs from website

Foreign W-8 (link on website-almost always the W-8BEN)

Individual, Joint, Sole Prop & IRA

Risk Disclosure Statement	Page 2
Information Page – Individual	Page 4-5
Information Page – Joint	Page 6-7
Customer Agreement	Page 19(A/B)
Arbitration Agreement (Voluntary)	Page 22
Request for Electronic Statement	Page 23
Hedge Agreement (if applicable)	Page 24
Additional Risk Disclosure	Page 32
Acknowledgement of Disclosures	Page 33
LME Disclosure (if applicable)	Page 35
IRA Account Representation (if applicable)	Page 36
Internal Revenue W-9	Page 37
Account Transfer (if applicable)	Page 38
ALSO: DBA letter for Sole Prop	
ALSO: Custodian signatures for IRA	

LLC Account

Risk Disclosure Statement	Page 2
Information Page	Page 8-9
Customer Agreement	Page 21 (F)
Arbitration Agreement (Voluntary)	Page 22
Request for Electronic Statement	Page 23
Hedge Agreement (if applicable)	Page 24
Personal Guarantee	Page 30
Corporate Resolution	Page 31
Additional Risk Disclosure	Page 32
Acknowledgement of Disclosures	Page 33
LME Disclosure (if applicable)	Page 35
Internal Revenue W-9	Page 37
Account Transfer (if applicable)	Page 38
ALSO:	
Articles of Organization	
Operating Agreement	
Audited Financials	

Partnership Account

Risk Disclosure Statement	Page 2
Information Page (in name GP or LP)	Page 8-9
For each Individual GP	
Partnership Form (for each individual GP)	Page 10
Customer Agreement	Page 21 (E)
Arbitration Agreement (Voluntary)	Page 22
Request for Electronic Statement	Page 23
Hedge Agreement (if applicable)	Page 24
Personal Guarantee	Page 30
Additional Risk Disclosure	Page 32
Acknowledgement of Disclosures	Page 33
LME Disclosure (if applicable)	Page 35
Internal Revenue W-9	Page 37
Account Transfer (if applicable)	Page 38
ALSO:	
Full Partnership Agreement	
All general partners must sign paperwork	

Corporate Account

Risk Disclosure Statement	Page 2
Information Page	Page 8-9
Customer Agreement	Page 20 (C)
Arbitration Agreement (Voluntary)	Page 22
Request for Electronic Statement	Page 23
Hedge Agreement (if applicable)	Page 24
Personal Guarantee	Page 30
Corporate Resolution	Page 31
Additional Risk Disclosure	Page 32
Acknowledgement of Disclosures	Page 33
LME Disclosure (if applicable)	Page 35
Internal Revenue W-9	Page 37
Account Transfer (if applicable)	Page 38
ALSO:	
Articles of Incorporation, audited financials (if available)	
Shareholders register	
All members/officers must sign paperwork	

Trust Account

Risk Disclosure Statement	Page 2
Information Page – Individual	Page 4-5
Customer Agreement	Page 20 (D)
Arbitration Agreement (Voluntary)	Page 22
Request for Electronic Statement	Page 23
Hedge Agreement (if applicable)	Page 24
Trustee Certification	Page 29
Personal Guarantee	Page 30
Additional Risk Disclosure	Page 32
Acknowledgement of Disclosures	Page 33
LME Disclosure (if applicable)	Page 35
Internal Revenue W-9	Page 37
Account Transfer (if applicable)	Page 38
ALSO:	
Complete Copy of Trust Document	
All trustees must sign paperwork	

Managed – additional documentation required:

POA Forms	Page 25-27
CTA Exemption Letter (if applicable)	Page 28
Advisory Agreement	from CTA
Fee Authorization	from CTA
Disclosure Document	from CTA

For Business of a Financial Nature

Employee Authorization Letter	
Duplicate statements yes or no	
Corporate Funds Letter/Foreign	
Personal Funds Letter	

Addl Docs for CPO/Hedge Fund

Offering Memorandum	
NFA exemption letter if not registered	