

**Asset Investment Management (AIM)**

**Account Initial and Maintenance Balance Agreement**

For the purpose of defining and controlling risk the undersigned agrees to the following

1) Initial Deposit \_\_\_\_\_

AIM Risk Control Approval

2) Carrying Broker \_\_\_\_\_

Compliance Officer \_\_\_\_\_

3) Currency \_\_\_\_\_

Approval Code \_\_\_\_\_

4) Maintenance Balance \_\_\_\_\_

Date \_\_\_\_\_

Should the account liquidation value fall below the maintenance balance listed in 4 as calculated by the settlement price you are instructed to

- Liquidate all positions for the account on or before the next market settlement.
- Should AIM fail to liquidate positions on or before the next settlement AIM will be liable for any resulting loss.
- Trading authorization will automatically be revoked.
- Any new positions would be deemed unauthorized and transferred to Asset Investment Management (AIM) error account immediately.

**5) Trading Program(s)**

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

<b>Customer Acknowledgement:</b>	
Signature	_____
Printed Name	_____ Date _____
Partnership/Joint Party Signature	_____
Printed Partnership/Joint Party Name	_____ Date _____