

Corporate Entity Account Questionnaire

Corporate Entity Name: _____

1. Please provide a detailed description of your core business activities as well as your revenue sources.

2. Provide a list of all of the principals and beneficial owners including ownership percentage for each. Should equal 100%:

Name	Ownership %
Total	

3. Are any of the company's shares held in nominee? Y N

If yes, please explain. _____

4. Please provide current corporate financial information below:

Total Assets	
Total Liabilities	
Intended Initial Deposit	

If the company does not have any liabilities, please provide an explanation: _____

5. Do you have financial statements that are less than 12 months old? Y N

If yes, please submit the most recent audited and/or unaudited financial statements. If none, please explain why.

6. Were you or any senior corporate officer/ principal ever charged or convicted of a felonious crime which would potentially disqualify you from registration with any regulatory agency? Y N

If yes, please explain. _____

7. Are the deposited funds contributed solely by the entity and/or its principals/owners? Y N

- If yes, you affirm that all assets deposited or to be deposited in the account(s) are corporate proprietary funds resulting solely from:
- a. Paid in capital contributed by the firms owners/principals as disclosed in the customer application; and/or
 - b. Net income and/or retained earnings resulting from regular business operations.

Document Checklist:

- | | |
|--|---|
| <input type="checkbox"/> Certificate of Incorporation or equivalent
<input type="checkbox"/> Articles of Incorporation or equivalent
<input type="checkbox"/> Address verification in the name of the company
<input type="checkbox"/> Address verification in the name of the Personal Guarantee | <input type="checkbox"/> Social Security Number or Photo ID for all officers and beneficial owners >10% |
|--|---|

By signing this form you agree the information provided is correct, complete and truthful. GAIN Capital Group, LLC ("GAIN Capital"), is not responsible for any information that was incorrectly disclosed to us. All changes must be promptly reported to us. You authorize GAIN Capital to verify the veracity and accuracy of the information you provided which may include a background review of matters regulatory, civil and/or criminal in nature. You hereby release GAIN Capital from any and all liability of whatever kind and nature which, at any time, could result from reviewing information and making a decision to extend its services to you. By signing below, you attest that as long as the account at GAIN Capital is active, the corporate entity will remain active in the jurisdiction in which it was formed and further the information provided herein will remain accurate or you will promptly notify us in order to update our records.

Customer Signature **X** _____

Date: _____

Name: _____

Title: _____

Please complete and sign this form and fax to **1.908.731.0777**.